Study Guide of the Industrial Group,

Doctoral Program, College of Management,

Yuan Ze University

(Applicable to students enrolled in the 110th academic year)

112.04.19 Passed by the sixth academic meeting of the 111 academic year

112.05.31 Passed by the seventh academic meeting of the 111 academic year

113.01.03 Revised and adopted at the sixth academic meeting of the 112 academic year

113.06.05 Revised and approved at the ninth academic meeting of the 112 academic year

114.06.04 Revised and approved at the sixth academic meeting of the 113 academic year

Chapter 1. General principles

Article 1: Formulated in accordance with the detailed examination rules for the postgraduate degree of Yuan Ze University's master's and doctoral programs.

Article2: The doctoral program of the School of Management of Yuanze University (herein referred to as the doctoral program) has an academic group and an industrial group (herein referred to as the group).

Chapter2. Study and Credit Requirements

Article 3: Number of years of study

The duration of study for doctoral programs ranges from two to seven years.

Article 4: Number of credits and required elective subjects

1. 18 credits in this doctoral class, plus a doctoral thesis.
2. After passing the qualification examination, students are required to take doctoral thesis writing courses for at least two semesters (Doctoral Thesis Writing 1, Doctoral Thesis Writing 2) during the thesis writing period. Doctoral thesis writing courses are not included in graduation credits.
3. The subjects studied in this group include:
4. Basic compulsory course (3 credits): " Data Analysis and Decision-making "
5. Other compulsory courses (12 credits): "Management Theory and Application", "Business Diagnosis", "Case Study Methods", "Business Consultant Case Study".

3. Other elective subjects: Please refer to the elective subject list stipulated in the doctoral program.

Chapeter3. Qualification test

Article5: Qualifying Examination Oral Examination Committee

Members of the oral examination committee for each qualifying examination are invited by the class teacher after the start of each school year, with priority given to DBA teaching teachers and class curriculum committee members.

Article6: To fill in the " Qualification Examination Application Form " (Appendix 1) within the specified time and pass the examination:

1. The qualification examination (including re-examination) must be passed within three years of study. Those who fail to pass will be dropped from school.
2. Those who have completed "Data Analysis and Decision Making", "Management Theory and Application", "Enterprise Diagnosis", and "Case Study Method" and have a score of 70 or above (inclusive) can apply for the qualification examination.
3. The passing score of the qualification examination is 70 points (inclusive). Those who fail must retake the exam, only once. Those who fail to pass the retake will be dismissed.
4. The qualification examination (including re-examination) is conducted once every semester. After the semester starts, the office will issue an application announcement and provide written information format. An oral examination will be held before the final examination. Written materials must be submitted one week before the oral examination. The oral examination time will be arranged by the office, and please the qualification examination oral examination committee members will score, and the average score of 70 points (inclusive) will be considered as passing. **If the student has a thesis advisor, the advisor shall serve as an ex officio committee member.**
5. If a doctoral student already has a supervisor, he or she must be invited to attend the qualifying oral examination. An application is submitted for the qualification examination, it cannot be revoked. If you are unable to come to the exam due to force majeure, you must submit a cancellation application one week before the oral exam date. If you are unable to submit a cancellation application as scheduled due to major reasons, you may submit a supplementary application within one week after the oral exam date. After being approved by the class meeting, the application will not be considered. If you fail, the number of applications is limited to once.

##### Chapter 4 Ph.D thesis

Article 7: Thesis advisor

Doctoral students must fill out the "Thesis Supervising Professor Application Form" (Appendix 2) in their research field before the end of the semester in which they pass the qualifying examination at the latest to apply for the co-supervision of one or more thesis supervisors in this doctoral class. The supervisor should Priority will be given to full-time teachers in our school. If it is necessary to seek co-instruction from teachers outside the school, it must be submitted to the class meeting for approval with the consent of the convener of the group. Before a doctoral student has selected a supervisor, the doctoral class will assign a full-time faculty member of the school to serve as a supervisor to guide his/her course work. After the doctoral candidate selects a topic, he or she will write the thesis plan under the guidance of the thesis advisor.

Article8: Change of advisor

The application for changing the supervisor must be separated by one semester from the application for thesis supervisor, that is, the application cannot be submitted in the same semester. Doctoral students should fill out the "Application Form for Changing Thesis Advisor" (Appendix 3) and submit a letter of consent from the original thesis advisor and the new advisor. The change will be approved by the class meeting. The change of thesis advisor cannot be in the same semester as the submission of the midterm report and thesis oral examination application, and the change of thesis advisor must be done once and must be approved by the class meeting. If a doctoral student changes the advisor without complying with the regulations, his/her degree will be Exam scores will not be recognized.

Article9: Thesis Examination Committee

The Thesis Examination Committee is responsible for the thesis mid-term report and thesis oral examination. The committee members are composed of the student's supervisor, the oral examination committee for the annual qualification examination for the academic year in which the oral examination is applied for, and external experts in related fields. Among them, the external experts are nominated by the doctoral class teacher and approved by the supervisor and the supervisor. Recruit with the approval of the group convener. The thesis examination committee consists of five to nine people, of whom at least one-third must be from outside the school. During the examination, the committee will recommend one person from each other to serve as the chairman.

Anyone who is a third-degree relative or significant stakeholder of a doctoral student shall voluntarily withdraw from serving as the student's supervisor or thesis examination committee member. If found, his/her qualifications will be revoked. If the oral examination has been completed, the results of the oral examination will be invalid. If it is discovered that a doctorate degree has been awarded, the degree will be revoked and the issued degree certificate will be recovered.

Article10: Dissertation midterm report

Thesis mid-term report can be submitted in the next semester after passing the qualification examination. The content of the report must at least include pilot research, prototype production or market testing. Before applying, applicants should complete the required courses for a doctoral degree in this group (excluding doctoral thesis writing courses), obtain the required credits, and pass the qualifying examination. When applying, fill in (1) Thesis Interim Report Application Form (Appendix 5), (2) The thesis examination committee roster (Attachment 4), (3) transcripts over the years and (4) thesis mid-term report checklist (Attachment 6) shall be submitted to the class meeting for review and approval with the consent of the supervisor and the convener of the group.

Midterm report score is 70 for passing and 100 for full marks. The score is determined by the average of the scores of the attending committee members. Thesis midterm report and thesis oral examination cannot be in the same semester. If you apply for a leave of absence during the semester after passing the thesis mid-term report, you need to reapply after resuming school and make another thesis mid-term report. Applications and cancellations can be submitted at most twice. The cancellation application must be submitted no later than one month before the day of the report. If it is not withdrawn within the specified time, it will be deemed as failed once. Those who fail both times or who fail to pass the mid-term report of their dissertation before the end of the seventh semester will be dismissed.

Article11: Dissertation oral examination

Doctoral students applying for the doctoral thesis oral examination should apply within the time specified in the calendar. When applying, they should submit (1) the first draft of the thesis, (2) graduation thesis oral examination checklist (Appendix 7) (3) graduation results review form (Appendix 9), or do not meet the graduation achievement standards and apply for the graduation thesis oral examination cut-off form (Annex 10) and submit it to the school for verification. The oral examination score will be 70 points as a passing score, and the doctoral thesis oral examination score will be based on the average score of the attending committee members. The decision will be made. Those who fail the oral examination may apply to be held again in the next semester, but only once. If for any reason, doctoral students who have applied for the doctoral examination are unable to complete the examination within the semester, they should take the oral examination specified by the school. Before the deadline for cancellation, the application for cancellation of the degree examination of that semester shall be submitted in accordance with the regulations. The application for cancellation of the degree oral examination shall be limited to one time. If the application is not canceled within the time limit and the examination is not held, it shall be regarded as a failure.

If plagiarism or fraud is found in a doctoral degree awarded by this doctoral program, and if it is found to be true after investigation, the degree will be revoked and the issued degree certificate will be recovered.

##### Chapter 5 Graduation results

Article12: Graduation results

Graduation results can reach the graduation standard by accumulating 6 points, **among which at least 2 points must come from publicly disclosed publications**.

|  |  |  |
| --- | --- | --- |
| Graduation achievement presentation types and projects | Points | Remark |
| Case publication Part B | | |
| Harvard Business School Publishing | 8 |  |
| Ivey Publishing, Richard Ivey School of Business Foundation | 8 |
| Case studies on SSCI and SCI journal papers | 8 |
| T SSCI Journal Paper Case Study | 6 |
| Harvard Business Review key discussion | 2 |
| Harvard Business Review Case Study | 2 |
| Guanghua Management Case Collection (KMCC) | 2 |
| **Chengchi University CPCC Case Collection** | **2** |
| Case studies of articles in **other journals** with **“review mechanisms**” | 1~6 | 1. Case-by-case review 2. Scopus 0.3-1: 4 points 3. Scopus above 1: 6 points |
| Industry-university cooperation | | |
| Industry-university cooperation plan **(each item)** | **1~3** | Case-by-case review |
| **Large-scale Government-Industry Collaboration Project** | **3~6** | Case-by-case review |
| **International Industry-Academia Collaboration Project** | **4~8** | Case-by-case review |
| Journal publication | | |
| Academic journals | **4~8** | Points are recognized according to the key points of the academic group. |
| Other journal articles “ with anonymous review mechanism” | **3~4** | Case-by-case review, no more than one article |
| Seminar presentation | | |
| Top or outstanding international seminars | **3~6** | Points are recognized according to the key points of the academic group. |
| Other international or domestic academic seminars | **1~2** | Determination on a case-by-case basis, with a maximum of 2 points recognized |
| Scholarly Book Publication | | |
| **Management-related book publication (integration of theory and practice is preferred)** | **1~4** | Case-by-case review |

1. Student submissions must have more than 50% results and at least one article must be co-authored with the supervisor, the research institution is Yuanze University, and it must be published while studying in this doctoral class.
2. The content of all relevant results published must be consistent with the field of management expertise. As long as the results are co-authored with the supervisor, they must be reviewed and approved by the supervisor before submission. If the type of graduation results publication is not on the list , an application must be made in advance and approved by the convener of each group , and the class meeting shall be held for reference .
3. If the graduation achievement is co-authored by other doctoral students, the Graduation Result Points Allocation Application Form (Appendix 11) must be filled in. Only with the signature and consent of all co-authors can it be counted as graduation achievement points.
4. Graduation outcome definition:
   1. Co-authored by one to four people ( at least one of them must be an assistant professor or above in our school ) , counted as one article .
   2. For co-authors of five to seven people ( at least three of whom must be assistant professors or above, at least two of whom must be assistant professors or above in our school ) , the calculation is based on 0.5 articles .
   3. Co-authorship by eight or more people: not counted.
5. The industry-university cooperation plan can recognize up to 3 points , and it is necessary to apply to an impartial third party (government unit, official foundation legal person) or submit a certificate with objective review. The industry-university cooperation project must involve at least one assistant professor or above from our school. One point will be awarded for NTD 500,000, and one point will be awarded for every additional NTD 300,000. The maximum number of points awarded is 3. A completion report is required (Doctoral students must be co-authors) or have works and proof of completion of the case that are recognized by this doctoral class (such as the client's E-MAIL and other certifiable materials) before points can be counted."
6. The content of different results published, except for industry-university cooperation projects, if there is high overlap, will be reviewed and decided by the class meeting, and the higher point will be counted once.
7. **In the sixth year of doctoral study, students may claim full points for conference presentations, journal articles, case studies, and industry-academia projects without being subject to the point limits specified in Articles 3 to 6.**

##### Chapter 6 appendix

1. Attachment 1. Application form for qualifying examination for the doctoral program at Yuanze University School of Management
2. Attachment 2. Application form for thesis advisor of the doctoral program at Yuanze University School of Management
3. Attachment 3. Application form for changing thesis advisor for the doctoral program of Yuanze University School of Management
4. Attachment 4. Yuanze University Doctoral Thesis Examination Committee Roster
5. Attachment 5. Application form for doctoral thesis interim report of Yuanze University School of Management
6. Attachment 6. Checklist for mid-term report for doctoral thesis applications from Yuanze University School of Management
7. Attachment 7. Oral examination checklist for doctoral thesis applications for Yuanze University School of Management
8. Attachment 8. Doctoral Program of School of Management, Yuanze University Case/Industrial-Academic Cooperation Project Points Approval Application Form
9. Attachment 9. Graduation results review form for the doctoral program at Yuanze University School of Management
10. Attachment 10. Application for graduation thesis oral examination cut-off letter for the doctoral class of Yuanze University School of Management that does not meet the graduation achievement standards
11. Attachment 11. Application form for distribution of graduation achievement points for the doctoral program at Yuanze University School of Management
12. Attachment 12. Exclusion letter for non-submission of papers to publications or seminars under the predatory publishing group
13. **Attachment 13. Research Ethics Self-Checklist**

##### Chapter 7 Supplementary Provisions

Article13: The key points of study for this doctoral class will be implemented after being approved by the class meeting, and the same applies to revisions.

Article14: If there are any matters that are not covered in the key points of the doctoral program, they will be handled in accordance with the relevant regulations of the school.

Attachment 1

Industrial Group of Doctoral Program of Yuanze University College of Management  
Qualification Examination Application Form

Date: / /

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Applicant |  | Student number |  | | Contact number |  |
| ⚫Qualifying exam questions:    ⚫Compulsory course results  ◼Data analysis and decision- making course results:  ◼Management theory and application course results:  ◼Enterprise diagnosis course results:  ◼Case study methods course results: | | | | | | |
| Expected  Exam date | Date: / / | | | | | |
| Thesis Advisor |  | | | | | |
| PhD program  convener |  | Director | |  | | |

⚫Please attach your transcripts for past years

Applicant's signature:

1 1 0 / 5 / 20

Attachment 2

Industrial Group of Doctoral Program of Yuanze University College of Management  
Thesis Supervisor Application Form

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Applicant |  | | Student number |  | | | Group | Industry group |
| Date of passing qualifying exam | | | Date: / / | | | | | |
| I have obtained Professor agrees  Serve as the supervisor of my doctoral thesis.  Applicant:  Date: / / | | | | | | | | |
| Signature of  Thesis Advisor | | Supervising Professor Conditions **(Check by the Supervising Professor)** | | | | | | |
|  | | * Full-time faculty who meets the academic group qualifications to supervise doctoral students (Qualify for SA, with at least one Category I journal publication within the past five years, or two Category II journal publications within the past five years). * Meets the PA definition, with at least one Category I case publication or two Category II case publications within the past five years. (For case categories, refer to the graduation achievement types and point system in the Study Guide of the Industrial Group. Publications worth 6 points or more are considered Category I; those worth fewer than 6 points are Category II.) * Co-advisor who meets one of the above qualifications. | | | | | | |
|  | |
| Doctoral program (major)  convener |  | | | | Director |  | | |
| Remark | 1. In accordance with the study guide of this doctoral program, students must apply for one or more thesis advisors no later than the end of the semester in which they pass the qualification examination. 2. The doctoral program will calculate and issue advisor hourly payments based on the date this form is submitted. 3. Any change of thesis advisor during the study period must be approved by the class meeting. | | | | | | | |

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Attachment 3

Industrial Group of Doctoral Program of Yuanze University College of Management

Application form for changing thesis advisor

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Applicant |  | Student number |  | Group | Industry group |
| Reason for Change Request |  | | | | |
| Original Thesis Advisor signature |  | | | | |
| New Thesis Advisor’s signature |  | | | | |
| DBA program  convener |  | | | | |
| Director |  | | | | |
| Note | 1. The application for changing the thesis advisor must be submitted at least one semester after the initial advisor assignment; that is, it may not be submitted in the same semester. 2. Changing the thesis advisor and applying for the dissertation midterm report or the oral defense may not occur in the same semester. In principle, the advisor may be changed only once and the change must be approved by the class meeting. | | | | |

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Attachment 4

Yuanze University Doctoral Thesis Examination Committee Roster for the Academic Year Semester

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| candidate | | | | Examination committee member | | | | | Remark |
| Graduate Institute | Student ID | Name | Paper title | Committee members (inside/outside of school) | Job title | Name | Degree | Affiliated Institution​ | #：Instructor  \*:convener |
| College of Management |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |
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Attachment 5

Industrial Group of Doctoral Program of Yuanze University College of Management

Interim Report Application Form

Date: / /

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Applicant |  | Student number |  | | Contact number |  |
| ⚫Midterm report title:    ⚫Interim report categories  □ Pilot research​  □ Market testing  □ Prototype production​ | | | | | | |
| Expected  Report date | Date: / / | | | | | |
| Thesis Advisor |  | | | | | |
| DBA program  convener |  | Director | |  | | |

Applicant's signature:

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Attachment 6

Industrial Group of Doctoral Program of Yuanze University College of Management

Application checklist for doctoral thesis interim report

Name: Student number:

Report date: Form completion date:

Thesis Advisor: ,

1. Course subjects

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Category | Course subjects | Semester | Offering Department | Score | Confirmation |
| Basic compulsory courses (3) |  |  |  |  |  |
| Other compulsory courses ( 12 ) |  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| Elective (3) |  |  |  |  |  |
|  |  |  |  |  |

Note: Proof of transcript must be attached.

2. Date of passing the qualification examination: / /

3. Review results:

* Comply with regulations
* Not in compliance with regulations

Illustrate:

Student signature:

Thesis Advisor: ,

DBA program convener:

Director:

Attachment 7

Industrial Group of Doctoral Program of Yuanze University College of Management

Application Checklist for Doctoral Thesis Oral Examination

Name: Student number:

Oral exam date: Form filling date:

**Thesis Advisor**: ,

Doctoral thesis title: (Chinese)

　　　　　　　(English)

Thesis interim report approval date: / /

The following items are graduation requirements. Those who have not published yet can leave them blank for now.

1. Case publication

|  |  |  |  |
| --- | --- | --- | --- |
| Topic | Journal/database name | Author | Get points |
|  |  |  |  |

2. Industry-Academic Plan

|  |  |  |
| --- | --- | --- |
| Industry-Academic Project Topics | Entrusting ( subsidy ) unit | Get points |
|  |  |  |

3. Review results:

* Comply with regulations
* Not in compliance with regulations

Illustrate:

Student signature:

**Thesis Advisor**: ,

DBA program convener:

Director:

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Attachment 8

**Industrial Group of Doctoral Program of Yuanze University College of Management**

**Case/Industrial-Academic Plan Approval Application Form**

Academic year: ,Semester: Application date: / /

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Student number |  | Name | |  | | Contact number |  |
| Journal/database name | | | | | Please check the verification result (check by the convener) | | |
|  | | | | | □ 1 Point  □ 2 Point  □ 3 Point  □ 4 Point  □ 5 Point  □ 6 Point | | |
| Upon approval of the review results by the class meeting, the applicant shall submit the required documents listed to the right to the doctoral program office after the submission has been accepted. | | | | | A copy of the acceptance confirmation for the case (e.g., email).  A copy or electronic file of the published case abstract or full text. | | |
| Industry-Academic Program Name/Subsidy Unit | | | | | Please check the verification result (check by the convener) | | |
| Project name:    Subsidy unit: | | | | | □ 1 Point  □ 2 Point  □ 3 Point | | |
| Upon approval of the review results by the class meeting, the applicant shall submit the required documents listed to the right to the doctoral program office after the submission has been accepted. | | | | | A copy or electronic file of the full final report of the industry-academia project.  Certificate of completion for the industry-academia project. | | |
| Applicant’s signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | |
| **Thesis Advisor** | | | Convener of each group | | | Director | |
|  | | |  | | |  | |

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Attachment 9

Industrial Group of Doctoral Program of Yuanze University College of Management  
 Academic year Semester Graduation Result Review Form

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Student number | | | |  | Name |  | | Oral exam time | | Date: / / |
| Serial number | 1 | Case/Industry-university name (year, page number ) | |  | | | | | | |
| topic | |  | | | | | | |
| 2 | Case/Industry-university name (year, page number ) | |  | | | | | | |
| topic | |  | | | | | | |
| Article publication regulations | | | | | | | | | | |
| |  |  |  | | --- | --- | --- | | Graduation achievement presentation types and projects | Points | Remark | | Case publication Part B | | | | Harvard Business School Publishing | 8 |  | | Ivey Publishing, Richard Ivey School of Business Foundation | 8 | | Case studies on SSCI and SCI journal papers | 8 | | T SSCI Journal Paper Case Study | 6 | | Harvard Business Review key discussion | 2 | | Harvard Business Review Case Study | 2 | | Guanghua Management Case Collection (KMCC) | 2 | | **Chengchi University CPCC Case Collection** | **2** | | Case studies of articles in **other journals** with **“review mechanisms**” | 1~6 | 1. Case-by-case review 2. Scopus 0.3-1: 4 points 3. Scopus above 1: 6 points | | Industry-university cooperation | | | | Industry-university cooperation plan **(each item)** | **1~3** | Case-by-case review | | Large-scale Government-Industry Collaboration Project | **3~6** | Case-by-case review | | International Industry-Academia Collaboration Project | **4~8** | Case-by-case review | | Journal publication | | | | Academic journals | **4~8** | Points are recognized according to the key points of the academic group. | | Other journal articles “ with anonymous review mechanism” | **3~4** | Case-by-case review, no more than one article | | Seminar presentation | | | | Top or outstanding international seminars | **3~6** | Points are recognized according to the key points of the academic group. | | Other international or domestic academic seminars | **1~2** | Determination on a case-by-case basis, with a maximum of 2 points recognized | | Scholarly Book Publication | | | | Management-related book publication (integration of theory and practice is preferred) | **1~4** | Case-by-case review | | | | | | | | | | | |
| Instructor's opinions | | | | | | | | | | |
| I hereby confirm that the above case/industry-academia project does not violate academic ethics, and  □ I agree that the content of the above case/industry-academia project is consistent with the field of management and meets the graduation requirements.  □ I do not agree that the content of the above case/industry-academia project is consistent with the field of management.  If any violation of academic ethics is found, the conferred degree may be revoked. | | | | | | | | | | |
| Signature of **Thesis Advisor** | | |  | | | | Signature date | | / / | |

YuanZe University

Attachment 10

 

135 Yuan-Tung Rd., Chung-Li, Taoyuan 32003, Taiwan, R.O.C.

桃園縣中壢市320遠東路135號

**Declaration**

According to the Study Guide of the Doctoral Program at the College of Management, Yuan Ze University, doctoral students must not only pass the oral defense of their dissertation but also accumulate at least 6 points from approved graduation achievements in order to meet the graduation requirements and receive the diploma.

I, (Student ID: \_\_\_\_\_\_\_\_), fully understand the above regulation. If I fail to obtain the required 6 graduation achievement points within the study period after passing the dissertation oral examination, resulting in failure to graduate, I will accept the outcome without objection.

Sincerely

Industrial Group of Doctoral Program of   
Yuanze University College of Management

|  |  |  |
| --- | --- | --- |
| Signature |  | Date |
| / / |
| Signature of **Thesis Advisor** |  | Date |
| / / |

Attachment 11

Industrial Group of Doctoral Program of Yuanze University College of Management   
Graduation Outcome Allocation Application Form

Student ID Name Applicant's signature

|  |  |
| --- | --- |
| Name of Case/Industrial-Academic Project |  |
| Topic of Case/Industrial-Academic Project |  |
| Graduation achievement point distribution | ＊ **This article’s case/industry-university project jointly adopts the plan point** . **Graduation outcomes** are calculated according to the following distribution points .  1. Co-author’s name:　　　　　Points allocated:  2. Co-author’s name:　　　　　Points allocated:  3. Co-author’s name:　　　　　Points allocated: |
| Signature of  co-author | ＊ I agree with the above point distribution results.  Signature of co-author: |
| Signature of  Thesis Advisor |  |

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YuanZe University

Attachment 12

135 Yuan-Tung Rd., Chung-Li, Taoyuan 32003, Taiwan, R.O.C.

桃園縣中壢市320遠東路135號

**Declaration**

According to the resolution of the 112-3 class meeting of the Doctoral Program at the College of Management, Yuan Ze University, a self-checklist and declaration titled “Non-submission of Papers to Predatory Journals or Conferences” must be submitted when applying for recognition of graduation points, as a demonstration of academic responsibility.

I, (Student ID: \_\_\_\_\_\_\_\_), fully understand the above resolution and have completed the following self-check steps prior to submission (please check the boxes if completed). If it is later found that my paper was submitted to a journal or conference affiliated with a predatory publishing group and my graduation points are revoked as a result, I will accept the outcome without objection.

□ I have checked the publisher and journal information on Beall’s List.

□ I have conducted verification using Think. Check. Submit. (Predatory Journal Self-Checklist) or think. Check. Attend. (Predatory Conference Verification Steps).

□ I confirm that my work was not submitted to publishers such as OMICS (India), WASET (Turkey), SCIRP (USA), MDPI, Frontiers, Baishideng, or Mega, among others.

Sincerely

Industrial Group of Doctoral Program of   
Yuanze University College of Management

|  |  |  |
| --- | --- | --- |
| Signature |  | Date |
| / / |
| Signature of **Thesis Advisor** |  | Date |
| / / |

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Attachment 13

Research Ethics Self-Checklist

If any of the following situations apply between the faculty member and the doctoral student, the faculty member must recuse themselves and shall not serve as the student's thesis advisor. Faculty members must complete a self-assessment to confirm the absence of any conflicts of interest before signing the student’s Thesis Advisor Application Form.

I, \_\_\_\_\_\_\_\_\_\_\_ hereby declare that there are no conflicts of interest between myself and the student as described below:

* I or my spouse, former spouse, blood relatives within the fourth degree, or relatives by marriage within the third degree, or individuals who previously held such relationships, are involved in the matter as interested parties.
* I or my spouse, or former spouse, have joint rights or obligations with the parties involved in the matter
* I am or have been the agent or assistant of the parties involved in the matter.
* I have served as a witness or appraiser in the matter.
* I currently work or have worked in the same company or organization as the student.
* I have had an employment, commissioned, or agency relationship with the doctoral student or the student’s spouse or children within the past three years.
* I have had financial transactions with the doctoral student or the student’s spouse or children within the past three years, where the terms (e.g., price or interest rate) deviated from fair market standards.
* During the supervision period, I have served as a board director, supervisor, or manager at a company where the student, the student’s spouse, or children are employed. (This does not apply if the role is held as a government-appointed director or supervisor.).

Teacher’s signature: